

Summary of Internal Audit work up to 31 August 2016

Appendix 1

<u>Department</u>	<u>Entity</u>	<u>Final report issued (or position @ 03/02/17)</u>	<u>Opinion</u>	<u>HI recommendation</u>
Adults & Communities	Loughborough Library	18-Jan-17	Substantial Assurance	No
Adults & Communities	Coalville Area Office	18-Jan-17	Substantial Assurance	No
Children & Family Services	South Kilworth CE Primary School	09-Nov-16	Substantial Assurance	No
Children & Family Services	Sheepy Magna CE Primary School	05-Dec-16	Substantial Assurance	No
Children & Family Services	Swinford CE Primary School	14-Dec-16	Substantial Assurance	No
Children & Family Services	Kegworth Primary School	25-Jan-17	Substantial Assurance	No
Children & Family Services	Richard Hill CE Primary School	07-Dec-16	Substantial Assurance	No
Corporate Resources	ISRA – Active Directory Federation Services (ADFS)	13-Dec-16	Substantial Assurance	No
Corporate Resources	ISRA – Egress Switch Secure Workspace - fostering & adoption	03-Feb-16	Substantial Assurance	No
Corporate Resources	ISRA – IOS Operating System - corporate ipads and iphones	02-Dec-16	Substantial Assurance	No
Corporate Resources	ISRA – SEN & Disability Information Advice & Support Service Database	18-Nov-16	Substantial Assurance	No
Corporate Resources	ISRA – Implementation of Tableau	23-Nov-16	Substantial Assurance	No
Environment & Transport	Highways Maintenance Challenge Fund	21-Sep-16	Grant certification	N/A
Environment & Transport	Vehicle Operators' Licences	03-Feb-17	Substantial Assurance	No
Public Health	Patient Detox Service	10-Jan-17	Substantial Assurance	No
Public Health	De-Commissioning and re-design of the 'Stop Smoking' Service	03-Jan-17	Position statement for Part A of audit	N/A

Consulting & assistance to others

Adults & Communities	Help to Live at Home Project - observe lessons learned exercise	Consulting
Adults & Communities	Termination of Provider Managed Accounts	Consulting

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Consolidated Risk	Challenge departments' risk register evaluations: - Deprivation of Liberty Standards; H&S; DBS	Assistance		
Consolidated Risk	Counter fraud initiatives; Serious and organised crime response	Assistance		
Consolidated Risk	Revised Code of Governance	Assistance		
Corporate Resources	Homecare Provider Validation	Assistance		
Corporate Resources	Homecare payments - manual invoice workflows	Assistance		
Corporate Resources	Insurance – Claims Investigating	Assistance		
Environment & Transport	Fuel management	Assistance		